

Item 1 Cover Page

A.

William Walsh

LGT Financial Advisors LLC

Brochure Supplement

Dated: March 31, 2023

Contact: Michael S. Radoff, Chief Compliance Officer
2626 Howell Street, Suite 700
Dallas, Texas 75204

B.

This brochure supplement provides information about William Walsh that supplements the LGT Financial Advisors LLC brochure; you should have received a copy of that brochure. Please contact Michael S. Radoff, Chief Compliance Officer if you did *not* receive LGT Financial Advisors LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about William Walsh is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

William Walsh was born in 1955. Mr. Walsh graduated from Texas Tech University in 1978, with a Bachelor of Business Administration degree in Accounting. Mr. Walsh has been an investment adviser representative of LGT Financial Advisors LLC since May, 2001 and was the Managing Member until January, 2016. Mr. Walsh was a registered representative of Investment Security Corporation from November, 2012 to January, 2022 and a Partner of Lane Gorman Trubitt LLC from September, 1987 to December, 2020. From July, 2015 to June, 2018 Mr. Walsh was an investment adviser representative of Lido Advisors, LLC.

Mr. Walsh held the designation of Certified Public Accountant (“CPA”) from August 1980 until his retirement in December 2022. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum

experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own.

In addition to the Code of Professional Conduct, AICPA members who provide personal financial planning services are required to follow the Statement on Standards in Personal Financial Planning Services (SSPFPS).

Mr. Walsh had been designated as a Personal Financial Specialist ("PFS") from January 2011 until his retirement in December, 2022. The PFS credential demonstrates that an individual has met the minimum education, experience and testing required of a CPA in addition to a minimum level of expertise in personal financial planning. To attain the PFS credential, a candidate must hold an unrevoked CPA license, certificate, or permit, none of which are in inactive status; fulfill 3,000 hours of personal financial planning business experience; complete 75 hours of personal financial planning CPE credits; pass a comprehensive financial planning exam and be an active member of the AICPA. A PFS credential holder is required to adhere to AICPA's Code of Professional Conduct and the Statement on Standards in Personal Financial Planning Services, when providing personal financial planning services. To maintain their PFS credential, the recipient must complete 60 hours of financial planning CPE credits every three years. The PFS credential is administered through the AICPA.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

None.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael S. Radoff, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Radoff at (214) 461-1539.

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A.

Michael S. Radoff

LGT Financial Advisors LLC

Brochure Supplement

Dated: March 31, 2023

Contact: Michael S. Radoff, Chief Compliance Officer
2626 Howell Street, Suite 700
Dallas, Texas 75204

B.

This brochure supplement provides information about Michael S. Radoff that supplements the LGT Financial Advisors LLC brochure; you should have received a copy of that brochure. Please contact Michael S. Radoff, Chief Compliance Officer if you did *not* receive LGT Financial Advisors LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Michael S. Radoff is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Michael S. Radoff was born in 1954. Mr. Radoff graduated from University of Texas, Austin in 1978, with a Bachelor of Business Administration degree in Accounting. Mr. Radoff has been an Advisory Representative of LGT Financial Advisors LLC since May, 2005 and was a Member until 2016. From March, 2009 to December, 2019, Mr. Radoff was a licensed insurance agent of LGT Insurance Services Inc. From November, 2012 to December of 2019, Mr. Radoff was a registered representative of Investment Security Corporation. From January, 1989 to December, 2019, Mr. Radoff was a Partner of Lane Gorman Trubitt LLC.

Mr. Radoff has held the designation of Certified Public Accountant ("CPA") since January 1981. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states

require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own.

In addition to the Code of Professional Conduct, AICPA members who provide personal financial planning services are required to follow the Statement on Standards in Personal Financial Planning Services (SSPFPS).

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

None.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael S. Radoff, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the

Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Radoff at (214) 461-1539.

Item 1 Cover Page

A.

Richard Trubitt

LGT Financial Advisors LLC

Brochure Supplement

Dated: March 31, 2023

Contact: Michael S. Radoff, Chief Compliance Officer
2626 Howell Street, Suite 700
Dallas, Texas 75204

B.

This brochure supplement provides information about Richard Trubitt that supplements the LGT Financial Advisors LLC brochure; you should have received a copy of that brochure. Please contact Michael S. Radoff, Chief Compliance Officer if you did *not* receive LGT Financial Advisors LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Richard Trubitt is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Richard Trubitt was born in 1955. Mr. Trubitt graduated from University of Texas, Austin in 1976, with a Bachelor of Business Administration degree in Accounting. Mr. Trubitt has been an Advisory Representative of LGT Financial Advisors LLC since January, 2001 and was a Member until 2016. From January, 1985 to December, 2020, Mr. Trubitt was a Partner of Lane Gorman Trubitt LLC.

Mr. Trubitt has held the designation of Certified Public Accountant (“CPA”) since January, 1980. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the

Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own.

In addition to the Code of Professional Conduct, AICPA members who provide personal financial planning services are required to follow the Statement on Standards in Personal Financial Planning Services (SSPFPS).

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

None.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael S. Radoff, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Radoff at (214) 461-1539.

Item 1 Cover Page

A.

Jeffrey Miller Bentley

LGT Financial Advisors LLC

ADV Part 2B, Brochure Supplement
Dated: March 31, 2023

Contact: Michael S. Radoff, Chief Compliance Officer
2626 Howell Street, Suite 700
Dallas, Texas 75204

B.

This Brochure Supplement provides information about Jeffrey Miller Bentley that supplements the LGT Financial Advisors LLC Brochure; you should have received a copy of that Brochure. Please contact Michael S. Radoff, Chief Compliance Officer, if you did *not* receive LGT Financial Advisors LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Jeffrey Miller Bentley is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Jeffrey Miller Bentley was born in 1992. Mr. Bentley graduated from the University of Arkansas in 2015, with a Bachelor's degree in Agriculture, Food & Life Sciences. Mr. Bentley has been employed as a financial advisor of LGT Financial Advisors LLC since August, 2019. Mr. Bentley was a registered representative of Investment Security Corporation from November, 2019 to January, 2023. From June, 2016 to July, 2019, Mr. Bentley was employed as a financial representative of Fidelity Brokerage Services LLC; from June, 2015 to May, 2016, Mr. Bentley was employed as an assistant-in-training of The Alotian Club; and from July, 2011 to May, 2015, Mr. Bentley was a student of the University of Arkansas.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. **Licensed Insurance Agent.** Mr. Bentley, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Bentley to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Bentley that a client purchase an insurance commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Bentley. Clients are reminded that they may purchase insurance products recommended by Mr. Bentley through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Michael S. Radoff, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

Item 5 Additional Compensation

None.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael S. Radoff, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Radoff at (214) 461-1539.

Item 1 Cover Page

A.

Alisha Zianne Reilly, CFP®

LGT Financial Advisors LLC

Brochure Supplement

Dated: March 31, 2023

Contact: Michael S. Radoff, Chief Compliance Officer
2626 Howell Street, Suite 700
Dallas, Texas 75204

B.

This brochure supplement provides information about Alisha Zianne Reilly that supplements the LGT Financial Advisors LLC brochure; you should have received a copy of that brochure. Please contact Michael S. Radoff, Chief Compliance Officer if you did *not* receive LGT Financial Advisors LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Alisha Zianne Reilly is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Alisha Zianne Reilly was born in 1980. Mrs. Reilly graduated from Queen's University in 2004, with a Bachelor of Art degree in Psychology. Mrs. Reilly served as an investment advisor representative of LGT Financial Advisors LLC from January, 2013 until June, 2018. Mrs. Reilly has also served as a registered representative of Investment Security Corporation from November, 2012 until June, 2018. Mrs. Reilly has also served as an investment adviser representative at Lido Advisors, Inc. from March, 2013 until June, 2018 and an administrator of Lane Gorman Trubitt LLC from March, 2010 until June, 2018. In July, 2011 Mrs. Reilly became a licensed insurance agent at LGT Insurance Services Inc.

Ms. Reilly has been a CERTIFIED FINANCIAL PLANNER™ since June, 2015. The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 76,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must currently satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- C. The supervised person is not actively engaged in any other investment-related businesses or occupations.

- D. The supervised person is not substantially involved in any non-investment related business activities.

Item 5 Additional Compensation

None.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Adviser's Act ("*Act*"). The Registrant's Chief Compliance Officer, Michael S. Radoff, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Radoff at (214) 461-1539.